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# Atiyah-Singer Index Theorem Seminar.

## Spin groups III: Beyond Thunderdome.

(Rahul Shah)

### Characteristic classes; Spin bundles.

#### I. Chern-Weil approach.

Def<sup>n</sup>:  $P: \mathfrak{gl}_n \rightarrow \mathbb{C}$  is invariant if  $P(XY) = P(YX)$   
for all  $X, Y \in \mathfrak{gl}_n$ .

Prop:  $P$  is invariant  $\Leftrightarrow P(XYX^{-1}) = P(Y) \quad \forall X \in GL_n, Y \in \mathfrak{gl}_n$ .

#### Examples:

•  $P = \det, \text{tr}$

•  $P = \text{tr}(\Lambda^k X); (\Lambda^k X)(v_1 \wedge \dots \wedge v_k) = (Xv_1 \wedge \dots \wedge Xv_k)$ .

Now: Let  $E$  v.b. with con  $\nabla$  & curv  $K$ .

$\downarrow$   
 $M$

Define  $\tilde{P}(E) := P(K) = \hat{P}(E, \nabla)$ .

$\mathcal{Y}_h^m$ : 1)  $\mathcal{P}(K)$  is closed.

2) If  $\nabla'$  is a different conn.,  $K'$  is curv., then  $\mathcal{P}(K') - \mathcal{P}(K)$  is exact.

Under pullbacks:  $\tilde{\mathcal{P}}(f^*E) = f^*\tilde{\mathcal{P}}(E)$ .

Example: Let  $E$  be a  $\mathbb{C}$  v.b., and let

$$c(E) = \det\left(I + \frac{i}{2\pi}K\right) = \sum_k \left(\frac{i}{2\pi}\right)^k \text{tr}(\Lambda^k K) = \sum_k c_k(E).$$

Exercise:  $c_1(\det(E)) = c_1(E)$ .

What about  $\mathbb{R}$  v.b.?

Let  $E$  be a  $\mathbb{R}$  v.b. with complexification  $E_{\mathbb{C}}$ , and let

$$P_k(E) = (-1)^k c_{2k}(E_{\mathbb{C}}) \quad (p_k \text{ are Pontrjagin classes}).$$

Note:  $c_{2k+1}(E_{\mathbb{C}}) = 0$  since  $(-1)^k \text{tr}(\Lambda^k K) = \text{tr}(\Lambda^k K)$  in this case.

## Genera.

Let  $E$  be a  $\mathbb{C}$  v.b.,  $f(z)$  be any formal power series (hol.  $f^{\text{nc}}$  near  $z=0$ ).

Def<sup>n</sup>: Chern  $f$ -genus:  $\text{TT}_f(E) = \det\left(f\left(\frac{i}{2\pi}K\right)\right)$ .

We have: •  $\text{TT}_f(E_1 \oplus E_2) = \text{TT}_f(E_1) \text{TT}_f(E_2)$ .

• If  $K$  has eigenvalues  $\{\lambda_j\} \in \Omega^2$ , then  $\text{TT}_f(E) = \prod_j f(\lambda_j)$ .

Now: Let  $E$  be a  $\mathbb{R}$  v.b.,  $g(z)$  a hol.  $f^{\text{nc}}$  s.t.  $g(0) = 1$ . Let

$$f(z) := \sqrt{g(z^2)}, \quad f(0) = 1.$$

Def<sup>n</sup>: The Pontrjagin  $g$ -genus of  $E$  is  $\text{TT}_f(E_{\mathbb{C}})$ .

Lemma:  $g$ -genus =  $\prod_j g(y_j)$ ,  $y_j$  are formal variables s.t.  
 $p_i(E) = i^{\text{th}}$  sym.  $f^{\text{nc}}$  in  $y_j$ .

Finally: Let  $\text{ch}(E) = \text{tr}\left(\exp\left(\frac{i}{2\pi}K\right)\right)$ . Then: •  $\text{ch}(E_1 \oplus E_2) = \text{ch}(E_1) + \text{ch}(E_2)$   
•  $\text{ch}(E_1 \otimes E_2) = \text{ch}(E_1) \text{ch}(E_2)$ .

## Associated bundles.

$$\begin{array}{c} P \rightrightarrows G \\ \downarrow \\ M \end{array} \leftarrow \begin{array}{l} \text{principal} \\ \text{bundle} \end{array}, \quad \rho: G \rightarrow \text{Aut}(F) \\ \uparrow \\ G\text{-rep}$$

Def<sup>n</sup>:  $P \times_{\rho} F$  is  $P \times F / \sim$ ,  $(p, f) \sim (p \cdot g, g^{-1} \cdot f)$ .

$$\begin{array}{c} P \times_{\rho} F \\ \downarrow \\ M \end{array} \leftarrow \begin{array}{l} \text{associated} \\ \text{bundle} \end{array}$$

If  $H$  acts on  $F$  and the action commutes with the  $G$ -action then  $P \times_{\rho} F$  carries an  $H$ -action  $h \cdot (p, f) = (p, h \cdot f)$ .

If  $F$  is a right torsor (and action commutes w/ a left  $G$ -action), then  $P \times_{\rho} F$  is a principal  $H$ -bundle.

## Reduction of structure groups.

$$\begin{array}{c} P \rightrightarrows G \\ \downarrow \\ M \end{array}, \quad \rho: \tilde{G} \rightarrow G$$

Def<sup>n</sup>: A reduction of structure group of  $P$  to  $\tilde{P} \rightrightarrows \tilde{G}$  is an iso.  $\varphi: \tilde{P} \times_{\rho} G \xrightarrow{\sim} P$ .

$$\begin{array}{c} \tilde{P} \rightrightarrows \tilde{G} \\ \downarrow \\ M \end{array}$$

Example:  $TM \xrightarrow[\text{can.}]{\sim} P \times_{\text{id}} \mathbb{R}^n$ ,  $\text{id}: GL_n \rightarrow GL_n = \text{Aut}(\mathbb{R}^n)$ ,  
 $P_x = \{e: \mathbb{R}^n \rightarrow T_x M\}$ ,  
 $(e, \vec{v} \in \mathbb{R}^n) \mapsto e(\vec{v})$ .

← frame bundle

Example: Choose a Riem. metric on  $TM$ . This is a reduction of structure group from the frame bundle to orth. frame bundle

$\tilde{P} \ni O(n)$ , orth frame bundle

↓

$M$

$P \ni GL_n$ , frame bundle

↓

$M$

$$i: O(n) \hookrightarrow GL(n)$$

$$\varphi: \tilde{P} \times_i GL_n \xrightarrow{\sim} P$$

$$(\tilde{e}, g) \longmapsto \tilde{e} \circ g.$$

## Spin bundles.

Let  $M$  be a spin mfld of even dimension (in particular,  $M$  is oriented, Riemannian).

Def<sup>n</sup>: A spin structure on  $M$  is a reduction of structure group from the oriented orth. frame bundle to a principal  $\text{Spin}(n)$  bundle.

$$\begin{array}{ccc} P \supset SO(n) & & \tilde{P} \supset \text{Spin}(n) \\ \downarrow & & \downarrow \\ M & & M \end{array}$$

$$\rho: \text{Spin}(n) \rightarrow SO(n)$$

$$\varphi: P \times_i \mathbb{R}^n \xrightarrow{\sim} TM$$

$$\begin{array}{c} \uparrow \text{defining rep } (SO(n) \curvearrowright \mathbb{R}^n) \\ \tilde{P} \times_\rho SO(n) \rightarrow P \end{array}$$

$$TM \cong (\tilde{P} \times_\rho SO(n)) \times_i \mathbb{R}^n.$$

Recall: There is a unique  $\mathcal{U}(\mathbb{R}^n) \subset \mathbb{C}$  irrep  $\Delta$ .

$\Delta$  has a hermitian structure under which  $\text{Spin}(n)$  acts unitarily.

$$E_\mu = \{ \pm e_1^{i_1} e_2^{i_2} \dots e_n^{i_n} \mid i_k = 0, 1 \} \text{ on which } -1 \text{ acts as } -\text{id}.$$

$$\delta(e_i) = \delta(-e_i^*).$$

Abuse notation by letting:  $\Delta: \tilde{P}_x \Delta$ .

Prop:  $\Delta$  is a Clifford bundle.

Reminder:  $Cl(TM) \curvearrowright \Delta$  s.t.  $g(\vec{v} \cdot \vec{w}_1, \vec{w}_2) + g(\vec{w}_1, \vec{v} \cdot \vec{w}_2) = 0$ ,  
 $\nabla_x(\vec{v} \cdot \vec{w}) = \vec{v} \cdot \nabla_x \vec{w} + (\nabla_x \vec{v}) \cdot \vec{w}$ .

Proof:

$TM \curvearrowright \Delta$ ,  $(\tilde{e}' = \tilde{e} \cdot \tilde{g})$ ,

$$((\tilde{e}, g), \vec{v}) \cdot (\tilde{e}, \vec{w}) = (\tilde{e}, (\rho(\tilde{g})(g\vec{v})) \cdot \vec{w}) = (\tilde{e}, \tilde{g} \cdot (g\vec{v}) \cdot \tilde{g}^{-1} \vec{w}).$$

$Spin(n) \hookrightarrow Cl(\mathbb{R}^n)$  via  $\tilde{g} \mapsto (\vec{v} \mapsto \tilde{g} \cdot \vec{v} \tilde{g}^{-1})$   
↑ diff. mult.

Exercise: Check this is well-defined.

$$\text{Now, } ((\tilde{e}, 1), \vec{v})^2 \cdot (\tilde{e}, \vec{w}) = (\tilde{e}, \vec{v} \cdot \vec{v} \cdot \vec{w}) = (\tilde{e}, -\|\vec{v}\|^2 \vec{w}).$$



↳ modulo "do the calculations and such yourself".

Break Time!

## Back from break, to calculate!

Recall that  $M$  is an even dim spin manifold (oriented, Riem., w/spin structure).  $Cl(TM) \hookrightarrow \Delta$  (and  $Cl(V) \hookrightarrow \Delta(V)$ ).

### Theorem:

$ch(\Delta) = 2^m \mathcal{G}(TM)$ , where  $\mathcal{G}(V)$  is the Pontrjagin  $g$ -genus associated to  $g(Z) = \cosh(\frac{1}{2}\sqrt{Z})$ .

Warning: Roe says that if  $K = \begin{pmatrix} \omega_1 & \\ & \omega_2 \\ & & \dots \end{pmatrix}$  is skew self-adjoint then there is a basis in which

$$K = \begin{pmatrix} 0 & \omega_1 & & \\ -\omega_1 & 0 & & \\ & & 0 & \omega_2 \\ & & -\omega_2 & 0 & \dots \end{pmatrix} \text{ — this is not true when the entries are 2-forms.}$$

### How to fix this: Splitting principal.

- (1) Complex v.b. injective  $\mathbb{Z}$  cohom.
- (2)  $\mathbb{R}$  v.b. inj. on  $\mathbb{Z}/2\mathbb{Z}$  cohom.
- (3) oriented  $\mathbb{R}$  even rank v.b.; then you can pull back to a direct sum of 2-plane bundles, injective on  $\mathbb{Z}$  cohom.

We will use version (3) of the splitting principal.

## Proof of $\chi^2$ :

W.l.o.g. assume  $V$  is a rank 2 bundle (use splitting principle).  
Can do this and notice that then if  $V = V_1 \oplus V_2$ ,

$$\Delta(V) = \Delta(V_1) \otimes \Delta(V_2),$$

$$\text{ch}(\Delta(V)) = \text{ch}(\Delta(V_1)) \text{ch}(\Delta(V_2)).$$

$V$  is an oriented ON 2-plane bundle, so  $V$  carries a complex structure given by  $\ast$ , and

$$\widetilde{V} \simeq_{\mathbb{R}} V$$

↑ complex line

$i^m \omega \in \text{Cl}(V)$ ,  $\frac{1}{2} i^m \omega$  splits  $\Delta$  into 2  $\text{Spin}(1)$  invariant subspaces

$$\Delta_+ \oplus \Delta_- = \Delta.$$

Lemma (proof delayed):  $\left. \begin{array}{l} \Delta^+ \otimes \Delta^+ \simeq \widehat{V} \\ \Delta^- \otimes \Delta^- \simeq \widehat{V}^* \end{array} \right\} \text{as Spin}(2) \text{ bundles.}$

Assuming the lemma,

$$c_1(\widetilde{V} \oplus \widetilde{V}^*) = c_1(\widetilde{V} \oplus \widetilde{V}) = c_1(V_{\mathbb{C}}) = 0$$

$$\begin{aligned} & \parallel \\ c_1(\Delta^+ \otimes \Delta^+) + c_1(\Delta^- \otimes \Delta^-) &= 2c_1(\Delta^+) + 2c_1(\Delta^-). \end{aligned}$$

Let  $c_1(\Delta^+) = x$ , so  $c_1(\Delta^-) = -x$ . Then

$$\text{ch}(\Delta) = \text{ch}(\Delta^+) + \text{ch}(\Delta^-) = e^x + e^{-x} = 2\cosh(x).$$

Now,

$$\begin{aligned} p_1(V) &= -c_2(V \otimes \mathbb{C}) = -c_2(\tilde{V} \oplus \tilde{V}^*) \\ &= -c_1(\tilde{V}) \cdot c_1(\tilde{V}^*) = 2x(-2x) = 4x^2. \end{aligned}$$

Now,  $G(V) = \prod_j g(y_j)$ ,  $p_i(V)$  is  $i^{\text{th}}$  sym  $f^{\text{nc}}$  in  $y_j$ .

$$\text{So, } p_1(V) = 4x^2 = y, \quad G(V) = \cosh\left(\frac{1}{2}\sqrt{4x^2}\right) = \cosh(x).$$

So, modulo **proving that lemma**, we are done.

Reminder: •  $\mathbb{C}(\mathbb{R}^2) \simeq \mathbb{H}$

$$\bullet \text{Cl}(\mathbb{R}^2) \otimes \mathbb{C} \simeq M_2(\mathbb{C})$$

$$1 \leftrightarrow \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad e_1 \leftrightarrow \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \quad e_2 \leftrightarrow \begin{pmatrix} 0 & 1 \\ i & 0 \end{pmatrix},$$

$$e_1 e_2 \leftrightarrow \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

Now,

$$\text{Spin}(2) = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \quad (\text{take norm 1 even } e_1^{\pm 2}).$$

Then,  $\mathbb{R}^2 \ni \vec{v} = v^1 e_1 + v^2 e_2 = i \begin{pmatrix} v^1 & v^2 \\ v^2 & -v^1 \end{pmatrix}$ . We act by conj., so

$$\begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} i \begin{pmatrix} v^1 & v^2 \\ v^2 & -v^1 \end{pmatrix} \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} = \begin{pmatrix} \cos 2\theta & -\sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix} \begin{pmatrix} v^1 \\ v^2 \end{pmatrix}.$$

Now,  $i e_1 e_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ , so

$$\Delta_+ = \left\{ \begin{pmatrix} x \\ ix \end{pmatrix} \mid x \in \mathbb{C} \right\}, \quad \Delta_- = \left\{ \begin{pmatrix} x \\ -ix \end{pmatrix} \mid x \in \mathbb{C} \right\}.$$

$\text{Spin}(2) \curvearrowright \Delta_+$ ,

$\begin{pmatrix} (\cos\theta + i\sin\theta)x \\ (\cos\theta + i\sin\theta)ix \end{pmatrix}$  acts by rot by  $\theta$ ; so  $\Delta_+ \oplus \Delta_+$  acts by  $2\theta$ ,

and the lemma is proved.  $\square$

Let  $S = \Delta \otimes V$ , recall  $R^S(X, Y) = \sum_{i,j} e_i e_j R(X, Y)$ .  
↳ Clifford bundle

Then  $K$  of  $S$  can be written as  $K = R^S + F^S$ ,  $[F^S, c] = 0$ .

Let  $ch(S/\Delta) := \text{tr}^{S/\Delta} \left( \exp \left( \frac{i}{2\pi} F^S \right) \right)$ . ↑  
Clifford mult.

Then

$$\begin{aligned} ch(S) &= ch(\Delta \otimes V) = ch(\Delta) \cdot ch(V) \\ &= 2^m G(TM) ch(S/\Delta). \end{aligned}$$
