

11/06/13

# Atiyah-Singer Index Theorem Seminar.

## Characteristic classes (Lee Cohn).

Main ref: Milnor & Stasheff.

Goal: What is an Euler class?

Will need orientation of vector bundles.

Review (orientation): (Orientation class)

Let  $V$  be an oriented <sup>v.s.</sup>  $\hat{\Delta}^n$  of dim  $n$ ,  $V_0 =$  set of nonzero vectors

Choose an orientation preserving embedding  $\hookrightarrow V$  such that  $\hookrightarrow 0$ .

Then  $\hookrightarrow := U_V \in H_n(V, V_0; \mathbb{Z}) = \mathbb{Z}$ . relative homology

Similarly,  $\mu_V \in H^n(V, V_0; \mathbb{Z}) = \mathbb{Z}$ .

$U_V, \mu_V$  are canonical generators for  $\hat{\Delta}^n$  (co)homology, that come from the orientation.

Moral: Choosing an orientation is equivalent to choosing a generator for top (co)homology.

## Theorem:

Let  $E$  be an oriented  $n$ -plane bundle; then  $\exists! \mu \in H^n(E, E_0; \mathbb{Z})$  such that

$$\mu|_{(F, F_0)} \in H^n(F, F_0; \mathbb{Z}) = \mathbb{Z} \text{ is a generator for each fiber } F.$$

Furthermore,  $y \mapsto y \cup \mu$  gives an isomorphism

$$H^k(E, \mathbb{Z}) \rightarrow H^{k+n}(E, E_0; \mathbb{Z}) \text{ for all } k.$$

In other words,  $H^*(E; E_0; \mathbb{Z}) = H^*(E; \mathbb{Z})[\mu]$ ,  $\deg(\mu) = n$ .

Since,  $H^*(E; \mathbb{Z}) \cong H^*(B; \mathbb{Z})$ ,  $F \rightarrow E$   
( $B \hookrightarrow E$  as zero section, then deformation retract into zero section).  $\downarrow \pi$   
 $B$

we have the Thom Isomorphism

$$\varphi: H^k(B; \mathbb{Z}) \rightarrow H^{k+n}(E; E_0; \mathbb{Z})$$

$$\varphi(x) = (\pi^* x) \cup \mu.$$

$\uparrow$  can pull back  $x$  to  $E$ , cup with  $\mu \rightarrow$  shifts deg up by  $n$

We have an inclusion of relative pairs

$$(E; \emptyset) \hookrightarrow (E; E_0)$$

which induces the restriction,

$$H^*(E, E_0; \mathbb{Z}) \xrightarrow{\text{res}} H^*(E; \mathbb{Z})$$

$$y \longmapsto y|_E$$

In particular,  $\mu \mapsto \mu|_E$  is called the Thom class.

Definition:

The Euler Class  $e(E) \in H^n(B, \mathbb{Z})$  is the  $e|_E^\pm$  corresponding to  $\mu|_E$ .

In other words, if  $i: B \hookrightarrow E$ , then  $e(E) = i^*(\mu|_E)$ .

Property:  $\begin{array}{ccc} \text{orient. pres. } n\text{-plane bundles} \\ E & \longrightarrow & E' \\ \downarrow f & & \downarrow \\ B & \longrightarrow & B' \end{array}$  then  $e(E) = f^* e(E')$

$$f^*: H^n(B'; \mathbb{Z}) \rightarrow H^n(B; \mathbb{Z})$$

Application: If  $E$  is trivial bundle then  $e(E) = 0$ .  $\square$

Property: If  $n$  odd, then  $2e(E) = 0$ .

Proof:

Thom iso. says  $\varphi(x) = (\pi^* x) \cup \mu$ .

$$\pi^* e(E) \cup \mu = (\mu|_E) \cup \mu = \mu \cup \mu.$$

So  $e(E) = \varphi^{-1}(\mu \cup \mu)$ , and  $\mu \cup \mu$  is of order 2.  $\leftarrow$  since cup product is graded commutative



Property:  $e(E \times E') = e(E) \times e(E')$   $\stackrel{\text{cross prod.}}{\neq} e(E \oplus E') = e(E) \cup e(E')$

Remark:  $E \times E'$  and  $E \oplus E'$   
 $\downarrow$   $\downarrow$   
 $B \times B'$   $B$

Proof:

$$\mu(E \times E') = (-1)^{mn} \mu(E) \times \mu(E') \quad (\text{apply restriction})$$

Reminder:  $\det(A^n \times B^m) = \det(A)^n \det(B)^m$ .

$$H^{m+n}(E \times E', (E \times E')_0) \rightarrow H^{m+n}(E \times E') \cong H^{m+n}(B \times B').$$

It follows that  $e(E \times E') = (-1)^{mn} e(E) \times e(E')$  [not odd]

Pulling back along  $\Delta: B \rightarrow B \times B$  gives the corresponding statement for  $E \oplus E'$  (pulling back cross product gives cup product).  $\square$

Property: If  $S: B \rightarrow E_0 \subset E$   $\stackrel{\text{section}}{\rightarrow}$  then  $e(E) = 0$ .

Proof:

$$B \xrightarrow{S} E_0 \hookrightarrow E \xrightarrow{\pi} B \Rightarrow H^n(B) \xrightarrow{\pi^*} H^n(E) \rightarrow H^n(E_0) \xrightarrow{S^*} H^n(B)$$

$\underbrace{\hspace{10em}}_{\text{id}} \quad \underbrace{\hspace{10em}}_{\text{id}}$

$$\cup e(E) \mapsto \mu|_E \mapsto \begin{pmatrix} \mu|_E \\ 0 \end{pmatrix}_{E_0} \mapsto 0$$

Why? ~~Relative~~ Restriction gives exact sequence

$$H^n(E, E_0) \rightarrow H^n(E) \rightarrow H^n(E_0)$$

$\underbrace{\hspace{10em}}_{\text{0}}$

Next Goal: If  $M^n$ -smooth, compact oriented manifold, then

$$\langle e(TM), \mu_M \rangle = \chi(M).$$

Fact: If  $M^n \subset A^{n+k}$  as Riemannian mflds and  $E \rightarrow M$  is oriented normal bundle of  $M$  in  $A$ , then

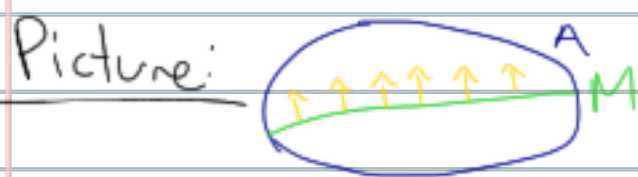
$$H^i(E, E_0; \mathbb{Z}) \cong H^i(A, A-M; \mathbb{Z})$$
$$\mu \longleftrightarrow \mu'$$

↗ not so  
secretly is  
the tubular nbhd thm

Corollary:

$$\text{If } M \subset A, \text{ then } H^k(A; A-M) \rightarrow H^k(A) \rightarrow H^k(M)$$
$$\mu \longmapsto e(\nu^k) = e(E)$$

↑ normal bundle  
rank  $k$



Application: If  $A = \mathbb{R}^{n+k}$  then  $H^k(A) = 0$ , so  $e(\nu^k) = 0$ .

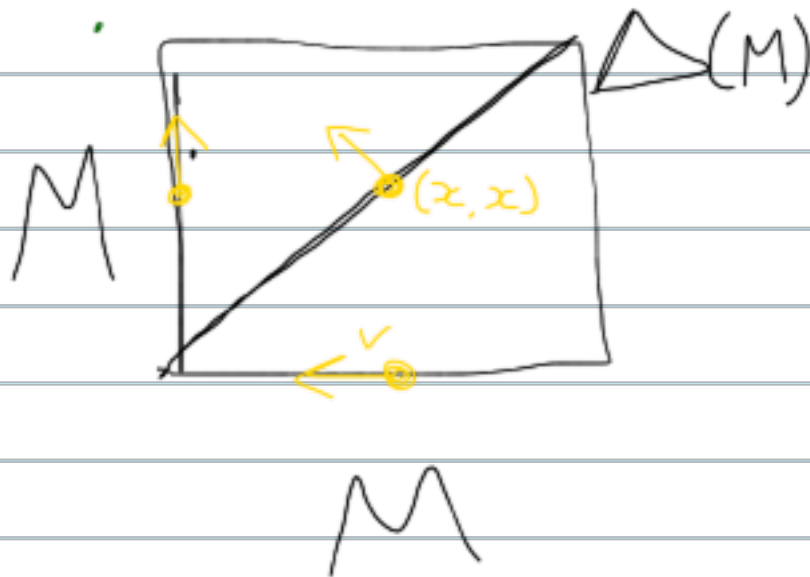
Remark: The image of  $\mu'$  in  $H^k(A)$  is the cohom. class dual to submfd  $M$  of codim  $k$ .

## Lemma:

The normal bundle  $\nu^n$  of  $\Delta: M \rightarrow M \times M$  is  $\cong$  to  $TM$ .

$$TM \rightarrow \nu^n$$

$(x, v) \mapsto ((x, x), (-v, v))$  gives an  $\cong$  of bundles



Now, let  $\mu' \in H^n(M \times M, M \times M - \Delta)$  be an orientation class.

The restriction of  $\mu'$  to  $\Delta$  is  $e(\nu^n) \cong e(TM)$  by the lemma.

Also,  $\mu'' := \mu'|_{M \times M} \in H^n(M \times M)$  is "dual" to the  $\Delta$ .

Property:

For  $a \in H^k(M)$ ,  $(a \times 1) \cup \mu'' = (1 \times a) \cup \mu''$ .

Meaning:  $\mu''$  is supported on the diagonal.

See [Milnor] for proof.

There is a slant product / cap product,

$$H^{p+q}(X \times Y) \otimes H_q(Y) \rightarrow H^p(X),$$

given by  $a \times b / \beta = a \langle b, \beta \rangle$ . (hom-cohom pairing).

For fixed  $\beta \in H_*(Y)$ , the map  $p \mapsto p / \beta$  is  $H^*(X)$ -linear:

$$((a \times 1) \cup p) / \beta = a \cup p / \beta \text{ for every } a \in H^*(X) \text{ and } p \in H^*(X \times Y).$$

## Lemma:

Given  $\mu_M \in H_n(M)$  fundamental class, then

$$\mu^n / \mu_M = 1 \in H^0(M),$$

Sketch:  $H^n(M \times M) \otimes H_n(M) \xrightarrow{\mu_n} H^0(M)$

$\begin{matrix} \times \\ \uparrow \end{matrix} \times M \rightarrow M \times M \leftarrow [Milnor] \text{ for proof.}$

## Poincaré Duality:

If  $\{b_i\}$  basis for  $H^*(M)$  there is a dual basis  $\{b_i^\vee\}$  of  $H^*(M)$  such that  $\langle b_i \cup b_j^\vee, \mu_M \rangle = \delta_{ij}$ .

Proof:

$$H^*(M \times M) \cong H^*(M) \otimes H^*(M) \text{ [Assume no tor for simplicity]}$$

The diagonal class  $\mu^n = b_1 \times c_1 + \dots + b_r \times c_r$  for some  $c_i$ ,  
 $\deg b_i + \deg c_i = n$ .

Apply  $\mu_n$  to  $(a \times 1) \cup \mu^n$  to get

$$\text{LHS: } (a \times 1) \cup \mu^n / \mu_M = a \cup \mu^n / \mu = a$$

$$\text{RHS: } (1 \times a) \cup \mu^n / \mu_M = \sum (-1)^{\bar{a} \bar{b}_j} (b_j \langle a \cup c_j, \mu_M \rangle) \begin{matrix} \bar{a} = \deg(a) \\ \text{Now Plug in } b_j \\ \text{for } a. \end{matrix}$$

This implies the coeff of  $b_j = \delta_{ij}$ , This simplifies  $b_i^\vee = (-1)^{\bar{b}_i} c_i$ .  $\square$



Corollary:  $\mu'' = \sum_i (-1)^{\bar{b}_i} b_i \times b_i^\vee$ .

Corollary:

If  $M$  is smooth, c.m.p.t., oriented, then

$$\langle e(TM), \mu_M \rangle = \chi(M).$$

Proof:

Since  $e(TM) = \Delta^* \mu''$ , by  $\mu'' = \sum (-1)^{\bar{b}_i} b_i \times b_i^\vee$ , we get

$$e(TM) = \sum_i (-1)^{\bar{b}_i} b_i \cup b_i^\vee.$$

Apply  $\langle \cdot, \mu_M \rangle$  to both sides

$$\langle e(TM), \mu_M \rangle = \sum_i (-1)^{\bar{b}_i} = \sum_k (-1)^k \dim H^k(M) = \chi(M).$$

□

We have our first index theorem!

Time for a break...

Application:  $\chi(S^n) = \begin{cases} 2 & n\text{-even} \\ 0 & n\text{-odd} \end{cases}$

$\Rightarrow e(TS^n) \neq 0$  if  $n$  is even.

$\Rightarrow S^n$  is not parallelizable if  $n$ -even.

---

Goal: What is the Todd Class? [Important for future lectures]

Let  $\begin{matrix} L \\ \downarrow \\ B \end{matrix}$  be a complex line bundle.

Def<sup>n</sup>:  $c_1(L) \in H^2(B)$ , the first chern class, is the Euler class of the corresponding rank 2 vector bundle.

Remark:  $L$  is oriented.

Theorem:  $\left\{ \begin{array}{l} \text{Iso classes of} \\ \text{cmplx line bundles} \\ L \text{ on } B \text{ a curve} \end{array} \right\} \xleftrightarrow[\text{(topological equivalence)}]{\text{bijection}} \left\{ c_1(L) \in H^2(B) \right\}$

Remark: Divisors up to linear equivalence are in correspondence with holomorphic line bundles.

## Technical Lemma:

$E$   
 $\downarrow$   
 $X$  rank  $n$  complex v.b. Then  $\exists p: Y \rightarrow X$  such that

1)  $p^*: H^*(X) \rightarrow H^*(Y)$  is injective &  $H^*(Y, \mathbb{Z}) = H^*(X, \mathbb{Z})[y, \dots, y^{n-1}]$   
 $\deg(y) = 2$

2)  $p^*E \downarrow_Y \cong L_1 \oplus L_2 \oplus \dots \oplus L_n$  as bundles on  $Y$ , where each  $L_i$  is a line bundle.

Remark: Injectivity of  $p^*$  is important because any eq<sup>n</sup> which holds in  $H^*(Y)$  also holds in  $H^*(X)$ .

Def<sup>n</sup>: The  $c_1(L_i)$  are called the Chern Roots of  $E$ .

There is a series

$$Q(x) = \frac{x}{1 - e^{-x}}$$

Def<sup>n</sup>:  $Td(E) = \prod_i Q(c_1(L_i))$  is called the Todd Class.

Since  $y^k \in H^{2k}(Y, \mathbb{Z})$  satisfies some poly<sup>n</sup> eq<sup>n</sup>:

$$y^k + c_1(E)y^{k-1} + c_2(E)y^{k-2} + \dots + c_k(E) = 0$$

for some coefficients  $c_i(E) \in H^{2i}(X, \mathbb{Z})$ .

These are called the  $i^{\text{th}}$  Chern class.

$$\text{Td}(E) = 1 + \frac{c_1}{2} + \frac{(c_1^2 + c_2)}{12} + \frac{c_1 c_2}{24} + \dots$$

Chern Class:

Let  $L$  be a line bundle  $\rightarrow c_1(L)$ .

$$\text{Ch}(L) = e^{c_1(L)} = \sum \frac{c_1(L)^k}{k!}$$

Example:

$$c_i(T\mathbb{C}P^n) = \binom{n+1}{i} \alpha^i \text{ for } 1 \leq i \leq n.$$

$$\alpha \in H^2(\mathbb{C}P^n, \mathbb{Z}) = \mathbb{Z}$$

For future talks:

For  $\mathcal{F}$  a coherent sheaf,  $\chi(M, \mathcal{F}) = \int_X \text{Ch}(\mathcal{F}) \text{Td}(TM)$ .